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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Mar Tormo *et al.*

Serial No.: 09/381,747

Filed: September 22, 1999

For: INHIBITION OF BCL-2 PROTEIN  
EXPRESSION BY LIPOSOMAL  
ANTISENSE  
OLIGODEOXYNUCLEOTIDES

Group Art Unit: 1615

Examiner: unknown

Atty. Dkt. No.: UTSC:550/STA

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

April 24, 2000  
Date

*Nicole W. Stafford*  
Nicole W. Stafford

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

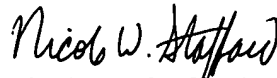
A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted in red.

There is an error in the continuing data, please insert after 10/03/1997 --**WHICH IS A CONT OF USAN 08/726,211 FILED 10/4/1996--**. In support of this request, attached please find a copy of the Inventors' Declaration that was originally filed in the PCT case.

Because the error is not due to any error by Applicants, no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Assistant Commissioner is hereby authorized to deduct said fee from Fulbright & Jaworski Account No.: 50-1212/UTSC:550/STA.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Nicole W. Stafford

Reg. No. 43,929

Attorney for Applicants

FULBRIGHT & JAWORSKI, L.L.P.  
600 Congress Avenue, Suite 2400  
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512/418-3000

Date: April 24, 2000



**COPY**  
PATENT  
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## DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **INHIBITION OF BCL-2 PROTEIN EXPRESSION BY LIPOSOMAL ANTISENSE OLIGODEOXYNUCLEOTIDES**, the Specification of which:

☐ is attached hereto.  
☒ was filed on **October 3, 1997** as Application Serial No. **PCT/US97/18348**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

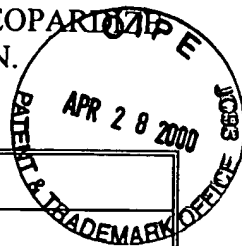
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

08/726,211	October 4, 1996	Pending
(Application Serial No.)	(Filing Date)	(Status)
<hr/>		
(Application Serial No.)	(Filing Date)	(Status)

I hereby direct that all correspondence and telephone calls be addressed to David L. Parker, Arnold White & Durkee, P.O. Box 4433, Houston, Texas 77210, (713) 787-1400.

I HEREBY DECLARE THAT ALL STATEMENTS MADE OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE

STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.



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Stadford  
STA

## FILING RECEIPT



\*OC000000004979725\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/381,747	09/22/1999	1615	400	UTSC:550-1/1PAR	11	20	3

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AUSTIN, TEXAS

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## Applicant(s)

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UTSC 550  
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Appl. Info ☐  
Reg/Grant Info ☐  
Action Required: ☐  
Date Due/Done: ☐  
By: ☒ Checked ☐

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US97/18348 10/03/1997  
WHICH IS A CONT OF USAN 08/726,211

## Foreign Applications

FILED 10/4/96

Foreign filing license granted on 03/02/2000

## Title

INHIBITION OF BCL-2 PROTEIN EXPRESSION BY LIPOSOMAL ANTISENSE  
OLIGODEOXYNUCLEOTIDES

## Preliminary Class

424

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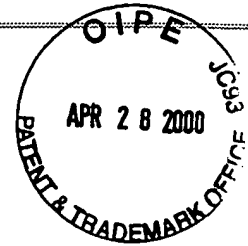
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Team : OIPE

Date: 03/02/2000



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**



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